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00862.003155

PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Masamichi ITO, et al.

Appln. No.: 09/451,870

Filed: December 1, 1999

For: RECEIVING APPARATUS
AND METHOD

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: Examiner: Johnny Ma

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: Group Art Unit: 2614

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)
: May 26, 2005

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FOURTH INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are enclosed.

REMARKS

The following documents were cited in a Japanese Office Action of a foreign counterpart application (Japanese Patent Application No. 11-007035) corresponding to U.S. Patent No. 6,377,309, which is also cited herein:

- (1) U.S. Patent No. 5,699,472;
- (2) U.S. Patent No. 6,535,530 B1;
- (3) Alexander Erk, CustomTV Service and System Requirements;

- (4) JP 8-149466;
- (5) JP 8-241068;
- (6) JP 8-256322;
- (7) JP 11-98193;
- (8) JP 6-225219;
- (9) JP 7-203433; and
- (10) JP 6-98290.

English-language Abstracts for each cited Japanese document are also enclosed.

Applicants respectfully note that Document (2) was cited in the July 8, 2004 Form PTO-892, and therefore is not listed on the Form PTO-1449. Applicants respectfully submit that JP 8-256322 and JP 11-98193 correspond to U.S. Patent Nos. 5,699,472 and 6,535,530 B1, respectively. Also enclosed is a partial English translation of JP 8-241068. The Japanese Office Action is dated March 1, 2005. A copy of the Japanese Office Action is enclosed.

FORMAL MATTERS

No fee is believed due; however, any fee required in connection with this paper should be charged to Deposit Account No. 06-1205. A duplicate of this paper is enclosed.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

A handwritten signature in cursive script, reading "Daniel S. Glueck".

Attorney for Applicants
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FORM PTO 1449 (modified)		ATTY DOCKET NO.	APPLICATION NO.
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		00862.003155	09/451,870
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		APPLICANT	
		Masamichi ITO, et al.	
Filed In USPTO: May 28, 2005		FILING DATE	GROUP
		December 1, 1999	2614
U.S. PATENT DOCUMENTS			

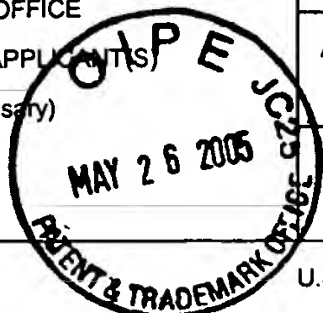
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,699,472	12/16/1997	Ueda	386	46	
	6,377,309 B1	04/23/2002	Ito, et al.	348	554	

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	8-149466	06/07/1996	Japan			Abstract
	8-241068	09/17/1996	Japan			Partial & Abstract
	8-256322	10/01/1996	Japan			Abstract
	11-98193	04/09/1999	Japan			Abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	
Alexander Erk, CustomTV Service and System Requirements, ACTS Project AC360, CUSTOMTV Vol. AC360, pp. 1-42 (Dec. 8, 1998)	
EXAMINER	DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)		ATTY DOCKET NO. 00862.003155	APPLICATION NO. 09/451,870
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		APPLICANT Masamichi ITO, et al.	
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		FILING DATE December 1, 1999	GROUP 2614
Filed in USPTO: May 26, 2005		U.S. PATENT DOCUMENTS	



*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT	
	6-225219	08/12/1994	Japan			Abstract	
	7-203433	08/04/1995	Japan			Abstract	
	6-98290	04/08/1994	Japan			Abstract	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)		

EXAMINER	DATE CONSIDERED
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